



PERSONAL INFORMATION

- Where has the subject resided over the past 15+ years?
- What is the subject's marital status?
- Are there any family ties with respect to business and personal endeavors?
- Is the subject or any of his/her close relatives on any Global Watch Lists?



PROFESSIONAL HISTORY

- What is the subject's employment history and have prior employers been identified?
- Is the information that they publicize accurate?
- Is there any relevant information omitted from their resume or social media profile(s)?
- Were there any controversies at a prior employer which could explain a misrepresentation?
- Did they accurately disclose their education history?



FINANCIAL STATUS

- Has the subject been a party to any civil judgments, state, or federal tax liens?
- Has the subject previously filed for bankruptcy or been party to a tax grievance with the U.S. Tax Court?
- Are there any noteworthy issues or potential red flags in the subject's credit report?



NEWS AND SOCIAL MEDIA/INTERNET SOURCES

- Has the subject been the focus of or referenced in any mainstream domestic or international news media?
- Does the subject maintain any personal blogs, websites, or social media networks?
- Have these platforms been analyzed for any derogatory information?
- What are followers saying about them?
- Have the locations of relevant postings been identified?

ANATOMY OF A COMPREHENSIVE BACKGROUND INVESTIGATION

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HUMAN INTELLIGENCE

- Do former colleagues, employers, business partners, or opponents in litigation have any relevant information relative to the subject's character or additional facts which may not have been reported in the public domain?



REGULATORY ISSUES

- Has the subject (or their business affiliates) ever been involved in any state or federal regulatory complaints?
- Has there ever been any complaints or disciplinary actions taken against him/her?
- Has the subject ever been sanctioned by a State or Federal regulatory agency?



CRIMINAL/CIVIL CASES

- Has the subject ever been convicted of a local, state, or federal crime?
- Has the subject ever been involved in any state or federal civil litigation?
- Do the details of the litigation filings provide any other relevant information about the subject?
- Are there patterns of litigation in the subject's background?



BUSINESS INTERESTS

- Is the subject connected with any domestic or foreign-based corporations, nonprofit organizations, charitable foundations, or political action committees?
- Have any of these affiliated entities been the subject of prior controversy, bankruptcy, litigation, or sanctions?
- Has the subject made any significant monetary contributions to any nonprofit organizations, charitable foundations, or political action committees?



ASSETS

- Does the subject personally own any real property or other tangible assets such as motor vehicles, aircraft, or watercraft?
- Does the individual own any assets through entities in which they maintain control?
- Has the subject previously pledged any assets as collateral in state Uniform Commercial Code filings?
- Has the subject ever been a significant shareholder in any publicly-traded companies?
- Has the subject ever served as an Officer or Board Member in any corporations or limited liability companies?