



EMPLOYEE & THIRD-PARTY BACKGROUND CHECKS

ANATOMY OF A COMPREHENSIVE BACKGROUND INVESTIGATION

COMPREHENSIVE BACKGROUND CHECKS AND DUE DILIGENCE.

As a former Special Agent with the U.S. Department of Homeland Security, Office of Inspector General, I understand the need for comprehensive unbiased **#background checks** and **#due diligence** for every employee. **#Fortaris Capital Advisors'** recommends employees go through a screening process every few years or when being they are being considered for a promotion or transfer. Fortaris Capital Advisors experienced team of risk management professionals can provide a comprehensive background checks and due diligence needed for today's change.

Fortaris Capital Advisors approach:

1. PERSONAL INFORMATION

- Where has the subject resided over the past 15+ years?
- What is the subject's marital status?
- Are there any family ties with respect to business and personal endeavors?
- Is the subject or any of his/her close relatives on any Global Watch Lists?

2. PROFESSIONAL HISTORY

- What is the subject's employment history and have prior employers been identified?
- Is the information that they publicize accurate?
- Is there any relevant information omitted from their resume or social media profile(s)?
- Were there any controversies at a prior employer which could explain a misrepresentation?
- Did they accurately disclose their education history?
- Have the subject's professional licenses/credentials been verified?

3. FINANCIAL STATUS

- Has the subject been a party to any civil judgments, state, or federal tax liens?
- Has the subject previously filed for bankruptcy or been party to a tax grievance with the U.S. Tax Court?
- Are there any noteworthy issues or potential red flags in the subject's credit report?

4. NEWS AND SOCIAL MEDIA/INTERNET SOURCES

- Has the subject been the focus of or referenced in any mainstream domestic or international news media?
- Does the subject maintain any personal blogs, websites, or social media networks?
- Have these platforms been analyzed for any derogatory information?
- What are followers saying about them?
- Have the locations of relevant postings been identified?

FORTARIS CAPITAL ADVISORS

Fortaris Capital Advisors delivers over 50 years of leadership expertise with a proven track record of implementing innovative solutions to improve profitability, mitigate risks and maximize stakeholder value.

The Fortaris team is expert in their field providing corporate security, fraud investigation, cyber-security and financial management solutions for companies of all sizes, across numerous industries. From start-ups to mature companies facing restructuring, the team brings the know-how and tools needed to safeguard and protect against the menacing threats to business continuity in today's evolving global marketplace.

Fortaris Capital Advisors is a fully licensed and insured advisory and private investigation firm.

To learn more about our services, visit us online. To arrange a consultation, contact our team of professionals. You will receive a response within 24 hours.

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5. ASSETS

- Does the subject personally own any real property or other tangible assets such as motor vehicles, aircraft, or watercraft?
- Does the individual own any assets through entities in which they maintain control?
- Has the subject previously pledged any assets as collateral in state Uniform Commercial Code filings?
- Has the subject ever been a significant shareholder in any publicly-traded companies?
- Has the subject ever served as an Officer or Board Member in any corporations or limited liability companies?

6. HUMAN INTELLIGENCE

- Do former colleagues, employers, business partners, or opponents in litigation have any relevant information relative to the subject's character or additional facts which may not have been reported in the public domain?

7. REGULATORY ISSUES

- Has the subject (or their business affiliates) ever been involved in any state or federal regulatory complaints?
- Has there ever been any complaints or disciplinary actions taken against him/her?
- Has the subject ever been sanctioned by a State or Federal regulatory agency?

8. BUSINESS INTERESTS

- Is the subject connected with any domestic or foreign-based corporations, nonprofit organizations, charitable foundations, or political action committees?
- Have any of these affiliated entities been the subject of prior controversy, bankruptcy, litigation, or sanctions?
- Has the subject made any significant monetary contributions to any nonprofit organizations, charitable foundations, or political action committees?

9. CRIMINAL/CIVIL CASES

- Has the subject ever been convicted of a local, state, or federal crime?
- Has the subject ever been involved in any state or federal civil litigation?
- Do the details of the litigation filings provide any other relevant information about the subject?
- Are there patterns of litigation in the subject's background?
- Is the subject a registered sex offender in any of the 50 U.S. states?

EMPLOYEE BACKGROUND CHECKS AND DUE DILIGENCE IS AN ONGOING PROCESS.

Managing a crisis can be a long and complicated journey which requires an ongoing process of evaluating, planning, adjusting and acting. Unlike day-to-day decision making, leaders navigating a crisis must constantly re-evaluate current status while directing future actions and strategies to avoid future crises. As organizations are forced to reexamine and reevaluate their business security and crisis management protocols, it is imperative for leaders to fully understand the existing and potential risks and the full range of possible outcomes. Call us today to arrange a consultation.